

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER ROH-037
		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <div style="font-size: 1.5em; font-weight: bold;">09/830092</div>
INTERNATIONAL APPLICATION NO. PCT/JP00/05596	INTERNATIONAL FILING DATE 22 August 2000	PRIORITY DATE CLAIMED 23 August 1999, 10 September 1999 & 14 October 2000

TITLE OF INVENTION:
SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME

APPLICANT(S) FOR DO/EO/US
Kazutaka SHIBATA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern either document(s) or information included:

11. ☒ In Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.

☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-left: 100px;">09/830092</div>		INTERNATIONAL APPLICATION NO. PCT/JP00/05596		ATTORNEY'S DOCKET NUMBER ROH-037					
17. <input checked="" type="checkbox"/> The following fees are submitted: <div style="margin-left: 20px;"> Basic National Fee (37 CFR 1.49(a)(1)-(5): Search Report has been prepared by the EPO or JPO..... International preliminary examination fee paid to USPTO (37 CFR 1.482)..... No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... </div>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left; padding: 2px;">CALCULATIONS</th> <th style="text-align: left; padding: 2px;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="padding: 5px;">\$ 860.00</td> </tr> </table>		CALCULATIONS	PTO USE ONLY	\$ 860.00	
CALCULATIONS	PTO USE ONLY								
\$ 860.00									
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 860.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.49(e)).				\$					
Claims	Number Filled	Number Extra	Rate						
Total Claims	25-20 =	5	X \$18	\$ 90.00					
Independent Claims	5-3 =	2	X \$80	\$ 160.00					
Multiple dependent claim(s) (if applicable)			+ \$270	\$					
TOTAL OF ABOVE CALCULATIONS				=	\$				
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$					
SUBTOTAL				=	\$ 1110.00				
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.49(f)).				+	\$				
TOTAL NATIONAL FEE				=	\$ 1110.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$				
TOTAL FEES ENCLOSED				=	\$ 1110.00				
				Amount to be refunded:	\$				
				charged:	\$ 1110.00				
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>18-0013</u> in the amount of <u>\$ 1110.00</u> to cover the above fees. A duplicate of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0013</u> . A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Rader, Fishman & Grauer, L.P.P.C. 1233 20 th Street, N.W., Suite 501 Washington, DC 20036 Dated: April 23, 2001									
				<div style="display: flex; align-items: center;"> <div> SIGNATURE <u>David K. Benson</u> NAME 42,314 REGISTRATION NUMBER </div> </div>					